FORM PTO-1390

20.

Other items or information:

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 063254-0203-US

(REV. 12-2001)			PATENT AND TRADEMARK OFFICE	003234-0203-03						
		ANSMITTAL LETTER TO DESIGNATED/ELECTED (		U.S. APPLICATION NO. (if known, see 37CFR 1.5)						
INTERNATIONAL APPLICATION NO. PCT/US2004/025913			TERNATIONAL FILING DATE 12 August 2004	PRIORITY DATE CLAIMED 14 August 2003						
TITLE OF INVENTION PERIODIC ACID COMPOSITIONS FOR POLISHING RUTHENIUM/LOW K SUBSTRATES (AS AMENDED)										
APPLICANT(S) FOR DO/EO/US  Robert J. Small, Haruki Nojo, Steve Masami Aragaki, and Atsushi Hayashida										
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:										
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.		The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).								
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
6.		<ul> <li>a.  is attached hereto (required only if not communicated by the international Bureau).</li> <li>b.  has been communicated by the International Bureau.</li> <li>c.  is not required, as the application was filed in the United States Receiving Office (RO/US)</li> <li>An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> </ul>								
7.		<ul> <li>a.  is attached hereto.</li> <li>b.  has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
0	_	<ul> <li>b.  have been communicated</li> <li>c.  have not been made; how</li> <li>d.  have not been made and</li> </ul>		ents has NOT expired.						
8. 9.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
- '		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Iten	ns 11.	to 20. below concern document(s) or inforn	nation included:							
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.	$\boxtimes$	A FIRST preliminary amendment.								
14.		A SECOND or SUBSEQUENT preliminary amendment.								
15.		A substitute specification.								
16.		A change of power of attorney and/or address letter.								
17.		A computer readable form of the sequence listing in accordance with PCT Rule.								
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).								
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								

US APPLICATION NO./If k	5680177	ATTORNEY'S DOCKET NUMBER									
21. The following fee	s are submitted:	CALCULATIONS PTO USE ONLY									
BASIC NATIONAL I	FEE (37 CFR 1.492 (A) (										
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)											
All other situations											
⊠ Search Fee:											
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to											
the USPTO as an International Searching Authority											
	All other situations										
TOTAL of 21, 22 and 23: 900											
Additional fee for specification and drawings filed in paper over 100 sheets											
(excluding sequence listing or computer program listing filed in an electronic medium), The fee is \$250.00 for each additional 50 sheets of paper or fraction											
thereof.											
Total Sheets	Extra Sheets Number	of each additional 50 or	fraction RATE								
		(round UP to a whole nur									
-100 =	/50 =										
Surcharge of \$130.00	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months										
	earliest claimed priority da			\$	i						
CLAIMS											
Total Claims	20 -20	0	x \$50			_					
	=	_									
Independent Claims	4 -3 =	1	x \$200 x 360	200							
☐ MULTIPLE DEPI	ENDENT CLAIMS (if app		<del></del>								
		\$1100	)								
Applicant claims si	mall entity status. See 37 C	- \$	i								
		\$1100	•								
Processing fee of \$130.	.00 for furnishing the Engl	ish Translation later than	30 months from the								
earliest claimed priority	y date (37 CFR 1.492(i)).										
·		TO	TAL NATIONAL FEE	=\$1100	i .						
	nclosed assignment (37 CF			s							
accompanied by an app	propriate cover sheet (37 C	FR 3.28, 3.31). x	\$40.00 per property	,		-					
		ТОТ	'AL FEES ENCLOSED	= \$							
			Amount to be refunded:								
			charged:								
A check in the amount of \$0.00 to cover the above fees is enclosed											
□ Please charge Dependence     □ Please Charge Depend	osit Account No. 50-0310	to cover the above fees.									
☐ The Commissioner	r is hereby authorized to ch	narge any additional fees	which may be required, or	credit any ox	vernavment to Γ	enosit Account					
No. 50-0310.		ange any additional reco	winom may be required, or	orount any o	cipayment to 2	oposit / tooount					
	ropriate time limit under nted to restore the applica		has not been met, a petiti	on to revive	(37 CFR 1.137	(a) or (b))					
22. Other instructions											
23. All correspondence for this application should be mailed to											
MORGAN, LEWIS & BOCKIUS LLP											
009629											
24 All telephone inquiries should be made to, 202.739.3000.											
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Christophon C. Uavdon	( M/1 h)	a simplification where the second	/- 14 7 E A		C. b 12	2007					